

CERTIFICATE OF MAILING

I hereby certify that this paper and every paper referred to therein as being enclosed is deposited with the U.S. Postal Service as first class mail, postage prepaid, in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on MAY 2, 2002 (Date of Deposit)
Date 05-02-02 Name Stubby Hart



PATENT

Attorney Docket No. 4097 P01
M&W No. APM/063-00

3742
#4

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:
Daniel Hoffman, et al.

Entitled: MERIE PLASMA REACTOR WITH
OVERHEAD RF ELECTRODE TUNED TO THE
PLASMA WITH ARCING SUPPRESSION

Serial No.: 10/007,367

Filing Date: October 22, 2001

)
) Group Art Unit: 3742
)
) Examiner: Unknown
)
)
)
)

RECEIVED

MAY 13 2002

TECHNOLOGY CENTER R3700

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97(b)

Commissioner for Patents
United States Patent & Trademark Office
Washington, D.C. 20231

Sir:

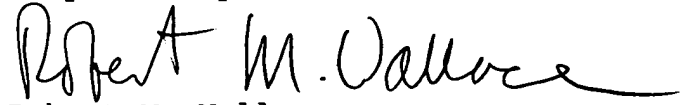
Attached hereto is Form PTO-1449 listing documents believed relevant to the subject application. It is respectfully requested that these documents be made of record and an initialed copy of each form be returned to the undersigned.

No representation is made that more pertinent material is not available or should not be considered by the Examiner. It is expected that the Patent and Trademark Office will independently conduct a complete search of appropriate art. Furthermore, no admission is being made that these documents are prior art, and applicant reserves the right to challenge any such consideration.

It is believed that this disclosure complies with the requirements of 37 CFR 1.56, 1.97, and 1.98, and the Manual of Patent Examining Procedures, section 609 and 707.05. If for some reason the Examiner considers otherwise, it is respectfully requested that the undersigned be called so that any deficiencies can be remedied.

A copy of each document is enclosed unless indicated otherwise. Some of the documents may have markings on them. No significance is meant to be attached to the markings. These documents are not necessarily analogous art.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Robert M. Wallace". The signature is fluid and cursive, with the first name "Robert" and last name "Wallace" clearly distinguishable.

Robert M. Wallace
Registration No. 29,119
Attorney for Applicants

Dated: May 2, 2002

Robert M. Wallace
2112 Eastman Avenue, Suite 102
Ventura, CA 93003
(805) 644-4035

RECEIVED

MAY 13 2002

TECHNOLOGY CENTER R3700

INFORMATION
DISCLOSURE
CITATION FORM FOR
PATENT APPLICATION
(FORM PTO - 1449)

Docket No.: 4097 P01

Serial No.: 10/007,367

Applicant(s): HOFFMAN, ET AL.

Filing Date: 10-22-01

Group: 3742

RECEIVED

MAY 13 2002

TECHNOLOGY CENTER R3700

U. S. PATENTS

| Initials | Patent No | Issue Date | Name | Class | Subclass | Filing Date |
|----------|-----------|------------|----------------|-------|----------|-------------|
| | 5,032,202 | 07-16-91 | TSAI ET AL. | 156 | 345 | 10-03-89 |
| | 5,115,167 | 05-19-92 | OOTERA ET AL. | 315 | 111.21 | 04-04-89 |
| | 5,198,725 | 03-30-93 | CHEN ET AL. | 315 | 111.41 | 07-12-91 |
| | 5,210,466 | 05-11-93 | COLLINS ET AL. | 315 | 111.21 | 03-13-92 |
| | 5,223,457 | 06-29-93 | MINTZ ET AL. | 437 | 225 | 10-11-91 |
| | 5,300,460 | 04-05-94 | COLLINS ET AL. | 437 | 225 | 03-16-93 |
| | 5,567,268 | 10-22-96 | KADOMURA | 156 | 345 | 01-19-95 |
| | 5,587,038 | 12-24-96 | CECCHI ET AL. | 156 | 345 | 07-16-94 |
| | 5,605,637 | 02-25-97 | SHAN ET AL. | 216 | 71 | 12-15-94 |
| | 5,618,382 | 04-08-97 | MINTZ ET AL. | 216 | 64 | 06-25-93 |
| | 5,674,321 | 10-07-97 | PU ET AL. | 118 | 723 | 04-28-95 |
| | 5,849,136 | 12-15-98 | MINTZ ET AL. | 156 | 345 | 11-22-96 |
| | 6,096,160 | 08-01-00 | KADOMURA | 156 | 345 | 04-16-97 |
| | 6,110,395 | 08-29-00 | GIBSON JR. | 216 | 67 | 08-26-97 |
| | 6,155,200 | 12-05-00 | HORIIKE ET AL. | 118 | 723 | 07-02-98 |

FOREIGN PATENT DOCUMENTS

| Initials | Document Number | Date | Country | Name | Translation? (Yes/No/n/a) |
|----------|--|------|---------|------|------------------------------|
| | | | | | |
| Initials | Other Documents (Title, Author, Date, Pages, Etc., if known) | | | | |
| | | | | | |
| | | | | | |

Examiner's Signature:

Date Considered:

Initial if reference was considered, whether or not citation with MPEP. Mark through citation if not considered. Include a copy of this citation form with your next correspondence to the Applicant(s).